

REMARKS

Claims 1, 4, and 9 have been amended. Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "Version with markings to show changes made." Claims 1-18 remain pending. Applicants reserve the right to pursue the original claims and other claims in this application and in other applications. Claims 12-18 have been allowed.

The Office Action objected to the specification and required a substitute specification. A substitute specification, including clean and marked-up copies, are provided with this Amendment. The substitute specification is in the "new" format, contains no new matter, and addresses the concerns raised in the Office Action. It is respectfully submitted that the objections to the specification should be withdrawn.

Claim 1 stands rejected under 35 U.S.C. § 112, second paragraph, as being indefinite due to lack of antecedent basis for "the shape." Claims 2-8 are also rejected under 35 U.S.C. § 112 by being dependent upon claim 1. Claim 1 has been amended to instead recite "a first shape" and "a second shape." It is respectfully submitted that each element of claim 1 as amended has proper antecedent basis. Therefore, the rejection under 35 U.S.C. § 112 to claims 1-8 should be withdrawn.

Claims 1-2, 5, 8-9, and 10 are rejected under 35 U.S.C. § 102 as being anticipated by Tedesco (U.S. Patent No. 5,861,990). Claims 1-4, 7-10, and 11 are rejected under 35 U.S.C. § 102 as being anticipated by Chahroudi (U.S. Patent No. 5,198,922). Claim 6 stands rejected under 35 U.S.C. § 103 as being unpatentable over Tedesco in view of Hoch (U.S. Patent 6,002,520). These rejections are respectfully traversed.

The present invention is directed at an optical beam homogenizer which includes a transmissive or reflective element having a surface which is irregularly shaped on one side. The irregular shapes are caused by a large plurality of optical elements formed in the

surface. For example, micro wedges may be formed upon one surface of the element. The optical elements differ in size and shape, so that adjacent elements refract or reflect light in different ways. The collective shapes of the plurality of optical elements may be such that the refracted or reflected light forms a predetermined angular pattern of homogenized light. See Fig. 1, element 18. The present invention utilizes a plurality of differently sized and shaped optical elements formed upon the same substrate to form an homogenized beam of light having a predetermined angular pattern.

Chahroudi is directed to an optical shutter structure in which transmitted light passes specularly while reflected light is diffused. See column 3, line 63 - column 4, line 5. Such shutter structures may be desirable in a window, since an occupant of a building may wish to see clearly through the window while eliminating reflections. See column 1, lines 45-49; column 4, lines 5-8. The shutter structure taught by Chahroudi (Figs. 1 and 2) has two outer layers 2, 3 surrounding an optically thin inner shutter structure 1. The outer layers 2, 3 have similar indices of refraction, and they each have an optically smooth flat outer surface and an optically rough inner surface matching the shape of the shutter material 1.

The shape of the shutter material may be smooth (Fig. 1) or angular (Fig. 2). See column 3, lines 57-64. When the shutter material 1 is in a transmissive mode, light passes through the three layer structure 1, 2, 3 without significant deflection. See column 3, lines 7-11. When the shutter material 1 is in a reflective mode, light is reflected only by the shutter material 1 (and not by either of the outer layers 2,3). The shape of the shutter material is irregular 1 causing the reflected light to diffuse, thereby resulting in the shutter structure having different transmissive and reflective optical characteristics. Since the shutter structure of Chahroudi is a composite of three stacked layers, Chahroudi cannot be fairly said to teach or suggest an optical device or system having a plurality of optical elements which "are formed upon a same substrate" and "have planar output surfaces," as recited by amended claims 1 and 9.

Tedesco is directed at an optical diffuser and light concentrator. Referring to

Fig. 1, the diffuser of Tedesco is formed upon a material 102 having a flat input surface 104 and an irregularly shaped output surface 106, including a plurality of projections 110, 122 which serve as optical elements to refract transmitted light. The projections are formed by uniformly applying a layer of photo resist material to the material 102 and exposing the photo resist material by a laser emitting a speckle pattern. See column 4, lines 19-21. When the material 102 is chemically processed, the plurality of projections 110, 122 are formed. See column 4, lines 21-23. As seen in Fig. 1, the projections 110, 122 formed by this process do not have planar output surfaces. Thus, Tedesco cannot be fairly said to teach or suggest an optical device or system having a plurality of optical elements which “are formed upon a same substrate” and “have planar output surfaces,” as recited by amended claims 1 and 9.

Hoch, which is directed at an illumination system comprising a light source, imaging optics, and a diffractive array, is cited by the Office Action for allegedly teaching the use of a lens to perform a Fourier transform function. Like Chahroudi and Tedesco, Hoch does not teach an optical device or system having a plurality of optical elements which “are formed upon a same substrate” and “have planar output surfaces,” as recited by amended claims 1 and 9.

Claim 1 recites “said first optical element and said second optical element have planar output surfaces and are formed upon a same substrate.” Claim 9 recites “wherein said optical elements are formed upon a same substrate and have planar output surfaces and different three-dimensional configurations.” Chahroudi, Tedesco, and Hoch are devoid of any teachings or suggestions regarding the use of a plurality of optical elements “having planar output surfaces” which are “formed upon a same substrate” as recited by amended claims 1 and 9. Claims 2-8 (which depend from claim 1) and claims 10-11 (which depend from claim 9) are believed to be allowable over the prior art of record for these reasons and because the combination defined in the claims is not shown or suggested by the cited references.

Finally, please note that the canceled and/or amended claims have been canceled and/or amended in this case solely for the purpose of furthering the prosecution of the present application. Applicant reserves the right to claim the subject matter of the canceled claims, the claims pending prior to this Amendment, and/or the subject matter of other claims embodied in this application, or any continuation, division, CPA, reissue, reexamination or other application. Any amendments made to the application are not made for the purpose of distinguishing the claims over prior art, except as specifically discussed in the Remarks section of this paper.

In view of the above, each of the presently pending claims in this application is believed to be in immediate condition for allowance. And there are additional reasons why the claims should be allowable beyond those mentioned above. Accordingly, the Examiner is respectfully requested to withdraw the outstanding rejection of the claims and to pass this application to issue.

Dated: August 1, 2001

Respectfully submitted,

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Version With Markings to Show Changes Made

Please cancel claims 5 and 11.

Please rewrite claims 1, 4, and 9 as follows:

1. (Amended) An optical device, comprising:

a first optical element for directing a first portion of an incident light beam in a predetermined first direction; and

a second optical element for directing a second portion of said incident light beam in a predetermined second direction, said second direction being different than said first direction, said second optical element being adjacent said first optical element, and wherein said first optical element is of a first shape, said second optical element is of a second shape, said first shape is different from said second shape, and said first optical element and said second optical element have planar output surfaces and are formed upon a same substrate. [the shape of said second optical element is different than the shape of said first optical element.]

4. (Amended) The device of claim 1, wherein said optical elements include micro-wedges. [with planar output surfaces.]

9. (Amended) An optical system, comprising:

a light source for providing a light beam; and

an optical device for homogenizing said beam, said optical device including adjacent optical elements for forming respective non-adjacent portions of an angular pattern, and wherein said optical elements are formed upon a same substrate and have planar output surfaces and different three-dimensional configurations.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR U.S. LETTERS PATENT

Title: OPTICAL DEVICE, SYSTEM AND METHOD

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FIELD OF THE INVENTION

[0001] The present invention relates generally to optics and optical systems and devices. The present invention also relates to a device for forming an homogenized light pattern. The present invention also relates to a method of making an optical device.

BACKGROUND OF THE INVENTION

[0002] Known techniques for homogenizing light make use of arrayed micro-lenses, diffractive diffusers, ground glass diffusers, and holographically-generated diffusers. Micro-lens arrays homogenize light by creating an array of overlapping diverging cones of light. Each cone originates from a respective micro-lens and diverges beyond the focal spot of the lens. In the known arrays, the individual lenses are identical to each other. Ground glass diffusers are formed by grinding glass with an abrasive material to generate a light-scattering structure in the glass surface.

[0003] Micro-lens arrays, ground glass diffusers and holographic diffusers all have the disadvantage of not being able to control the angular spread of the homogenized, diverging light. Light in general has an angular spread that is fairly uniform over a desired angular region, but the boundaries of the angular region are blurred. With the known diffuser methods, the energy roll-off at the edge of the desired angular spread can extend well beyond this region.

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[0004] Diffractive diffusers can be used to control the angular spread of the output light, but such diffusers are limited with respect to the amount of spread that they can impart to the output light. Due to fabrication limitations for short wavelength sources, visible or below, and limitations in the physics of the structures for longer wavelengths the maximum angular spread is limited. Further, diffractive diffusers used in their traditional binary form can include a significant amount of background energy and the patterns must be symmetric about the optical axis.

[0005] Thus, there is a need for a device which can homogenize light while controlling a broad angular spread of the homogenized, diverging light beam. Additionally, there is a need for a method of making an improved device for homogenizing light.

SUMMARY OF THE INVENTION

[0006] The disadvantages of the prior art are overcome to a great extent by the present invention. The present invention relates to an optical device formed of a plurality of optical elements. The elements may be used to direct portions of an incident light beam in predetermined, respective directions. The optical elements may be formed adjacent to each other in a two-dimensional array. Adjacent elements may have different shapes. The locations of the elements in the array may be essentially random with respect to the directions of the corresponding light beam portions.

[0007] According to preferred embodiments of the invention, the optical elements may be formed of transparent or reflective materials. The output surfaces of the respective elements may be flat and planar or they may be curved and non-planar.

[0008] According to another aspect of the invention, the optical device may be used to form an angular pattern. Alternatively, the device may be used to split the incoming beam into sub-beams.

[0009] The present invention also relates to an optical system that has a light source and an optical homogenizing device. The optical device may be formed of a large number of micro-wedges. The wedges may be used to form respective non-adjacent portions of a desired angular pattern. In a preferred embodiment of the invention, adjacent wedges may be formed with different three-dimensional configurations.

[0010] The present invention also relates to a method of making a multi-faceted optical device. The method includes the steps of (1) dividing an angular pattern into sub-angular regions, (2) determining micro-wedge configurations for directing beam portions to the sub-angular regions, and (3) generating an array of micro-wedges according to the determined configurations, such that adjacent wedges have different configurations.

[0011] According to another aspect of the invention, the two-dimensional arrangement or ordering of the wedges in the device array is essentially random with respect to the two-dimensional arrangement of the sub-angular regions in the pattern.

According to this aspect of the invention, the respective micro-wedge configurations may be assigned to random locations in the array. Thus, the relative positions or order of the wedges in the array has essentially no relationship to the relative positions or order of the sub-angular regions in the pattern. According to yet another aspect of the invention, the output surface slopes for the micro-wedges are calculated by a programmed general-purpose computer based on the locations of the respective sub-angular regions in the desired pattern.

[0012] In a preferred embodiment of the invention, appropriate phase tare surfaces may be used to divide the output surfaces of the micro-wedges into stepped or terraced surfaces, to thereby reduce the overall thickness of the optical device.

[0013] According to yet another aspect of the invention, an optical homogenizing device is formed of a tiled array of sub-devices, where each sub-device has randomly arranged micro-wedges. The tiled device may be used, for example, to handle large diameter input beams.

[0014] Thus, the present invention provides a method and apparatus for homogenizing a beam of light. The invention makes use of micro-structures in an array where each optical element or micro-wedge is different from its adjacent neighbor in size and slope. The array of different micro-wedges can homogenize light sources without the disadvantages of the prior art. Various combinations and alterations to the micro-wedge array may include: adding a phase bias to the micro-wedges to further

scramble the incoming beam; and adding a lens function to the surface of the array or to the back surface of the device.

[0015] The present invention may be used to homogenize light sources, perform beam splitting operations, and/or to redirect light in a given direction.

[0016] These and other advantages and features of the invention will become apparent from the following detailed description of the invention which is provided in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

[0017] FIG. 1 is a schematic perspective view of an optical system constructed in accordance with a preferred embodiment of the invention.

[0018] FIG. 2 is a partial plan view of the optical device shown in FIG. 1.

[0019] FIG. 3 is a cross sectional view of the optical device of FIG. 2, taken along the line 3-3.

[0020] FIG. 4 illustrates a method of making the optical device of FIGS. 2 and 3.

[0021] FIG. 5 is a perspective view of another optical device constructed in accordance with the present invention.

[0022] FIG. 6 is a partial cross sectional view of yet another optical device constructed in accordance with the present invention.

[0023] FIG. 7 is a partial cross sectional view of yet another optical device constructed in accordance with the present invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

[0024] Referring now to the drawings, where like reference numerals designate like elements, there is shown in FIG. 1 an optical system 10 constructed in accordance with a preferred embodiment of the invention. The optical system 10 has a light source 12 for generating a light beam 14, and an optical device 16 for homogenizing the beam 14. In operation, the device 16 may be used to form an angular pattern 18. In the illustrated embodiment, the pattern constitutes the letter "H." In alternative embodiments, the device 16 may be used to form a wide variety of patterns, including for example a split beam pattern.

[0025] In a preferred embodiment of the invention, the optical device 16 is formed of an array of optical wedges 22, 24. The wedges 22, 24 receive incident portions of the input beam 14 and direct the beam portions 46, 48 toward respective portions 50, 52 of the angular pattern 18.

[0026] As shown in more detail in FIG. 2, the optical device 16 may be formed of numerous square or rectangular-shaped micro-wedges 22, 24, 26, 28, 30, 32,

34, 36, 38, 40, 42, 44. Although only twelve wedges ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ are shown in FIG. 2, the optical device 16 may have ten thousand or more wedges ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ distributed randomly across its output surface. The configuration (i.e., three-dimensional shape) and slope of each wedge ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ may be different than the configuration and slope of each adjacent wedge ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~. In a preferred embodiment of the invention, the wedges ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ have flat, planar optical output surfaces (FIG. 3). The present invention should not be limited, however, to the preferred embodiments shown and described herein in detail.

[0027] In a preferred embodiment of the invention, the areas of adjacent wedges ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ are made unequal by selecting the lengths of the edges 142 appropriately. As shown in FIG. 2, for example, the lengths L1 and L2 of edges (or boundaries) 142 extending in a first direction may be made not equal to each other ($L1 \neq L2$). Similarly, the lengths L3 and L4 of edges 142 that extend in the orthogonal direction may be made not equal to each other ($L3 \neq L4$). By constructing the wedges ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ in different sizes by making their side edges 142 of different lengths, interference effects may be reduced.

[0028] The arrows 60 in FIG. 2 represent the direction of increasing thickness for the respective wedges ~~22-4422, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~

(where thickness is measured in a direction perpendicular to the plane of the page).

Thus, the first wedge 22 increases in thickness from left to right in FIG. 2, whereas the second wedge 24 increases in thickness from top to bottom as viewed in FIG. 2. A third wedge 26 increases in thickness in a direction toward the lower left corner of FIG.

2. A fourth wedge 32 increases in thickness in a direction toward the upper left corner of FIG. 2.

[0029] Phase tare surfaces 70, 72, 74, 76, 78, 80, 82, 84 may be provided to reduce the overall thickness of the optical device 16. Thus, the first wedge 22 is separated by a tare surface 70 into first and second portions 90, 92. The slopes (60) of the first and second portions 90, 92 may be equal to each other. That is, the planar output surfaces of the first and second portions 90, 92 may be parallel to each other. Likewise, the second wedge 24 is separated by a tare surface 72 into first and second parallel portions 94, 96. The slopes (designated by arrows 60) of the two portions 94, 96 may be equal to each other.

[0030] The tare surfaces ~~70-84~~70, 72, 74, 76, 78, 80, 82, 84 in effect operate to fold the output surfaces of the micro-wedges ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44. The tare surfaces ~~70-84~~70, 72, 74, 76, 78, 80, 82, 84 may be especially useful when the slopes (60) of the wedge output surfaces are relatively great. The heights of the tare surfaces ~~70-84~~70, 72, 74, 76, 78, 80, 82, 84 (measured in the direction from top to bottom as viewed in FIG. 3) may be a function of the wavelength of the incident light, if desired. For example, the heights of the tare surfaces ~~70-84~~70,

72, 74, 76, 78, 80, 82, 84 may be integer multiples of the wavelength of the incoming light beam 14.

[0031] As shown in FIG. 3, the phase tare surfaces ~~70-84~~70, 72, 74, 76, 78, 80, 82, 84 may lie in planes that are essentially parallel to the propagation direction of the input beam 14. In FIG. 3, the surfaces of the micro-wedges located behind the cross sectional line 3-3 are not shown for the sake of clarity of illustration. In other words, FIG. 3 represents only a thin slice of the optical device 16 taken along the line 3-3.

[0032] In operation, the light source 12 transmits the input beam 14 toward the optical device 16. The input beam 14 may have an uneven intensity distribution across its cross section. The beam 14 is directed onto the optical device 16 such that portions of the beam 14 are incident on respective wedges ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44. The wedges ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 direct the beam portions 46, 48 in predetermined directions to form an homogenized angular pattern 18. The homogenized pattern 18 may have a substantially uniform light intensity distribution. The beam portions 46, 48 are transmitted in different directions since each wedge ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 is different from its adjacent and neighboring wedges ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 in size and/or slope (60). Thus, the light output 46, 48 of each wedge ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 is directed or angled toward a particular sub-angular region 50, 52 of the desired angular spread 18.

Although the angular spread or pattern 18 is shown as the letter “H” in FIG. 1, the optical device 16 also may be used to split the input light beam 14 and/or to form a variety of other patterns.

[0033] Referring now to FIG. 4, a preferred method of making the optical device 16 includes the step of dividing the desired pattern 18 into small sub-angular regions (Step 150). The size of the incident beam 14 may be used to determine an appropriate number of sub-angular regions into which the pattern 18 should be divided. If the cross sectional area of the beam 14 is relatively large, then a relatively large number of sub-angular regions may be employed. If the cross sectional area of the beam 14 is relatively small, then a relatively small number of sub-angular regions may be employed. Although only two sub-angular regions 50, 52 are shown in FIG. 1 for the sake of clarity, the present invention may be practiced by dividing the entire pattern 18 into ten thousand or more such sub-angular regions. The number of sub-angular regions may be related to the number of wedges to be formed in the optical device 16.

[0034] Then, using appropriate geometric calculations, a slope and a three dimensional configuration for each wedge is determined such that the wedge will direct a portion of the input beam to a respective sub-angular region (Step 152). Then, a location within the device 16 is randomly chosen for each calculated wedge configuration (Step 154). The random placement of the wedges ~~22, 44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 in the optical device 16 causes the pattern 18 to have a

uniform intensity. In other words, the random location of the wedges ~~22-44~~ 22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 causes the input beam 14 to be homogenized.

[0035] The output surfaces of the wedges ~~22-44~~ 22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 may then be formed in a suitable substrate (e.g., glass) by gray scale photolithography, a suitable direct write method (e.g., electron beam or laser), or by another suitable technique (Step 156).

[0036] If the number of sub-angular regions in the pattern 18 is less than the number of micro-wedges desired to be arrayed in the device 16, then some of the wedges may have the same slope and size. The similar wedges will direct light energy to the same location or sub-angular region. However, the wedges with similar slopes, sizes and shapes are preferably not located adjacent one another.

[0037] The illustrated optical device 16 may be used to increase the amount of angular spread in the pattern 18 while maintaining a well defined pattern boundary 158 (FIG. 1). For example, at a wavelength of two hundred forty eight nanometers, with efficiencies of from eighty five percent to ninety five percent, depending on the shape of the output pattern 18, a half angle of approximately seven degrees is obtainable.

[0038] In addition, the device 16 may be used efficiently over a broad wavelength band, including but not limited to white light. This is an advantage over diffractive diffusers since diffractive diffusers are tuned to a particular wavelength and have decreased efficiency at different wavelengths.

[0039] According to another embodiment of the invention, an optical device 100 (FIG. 5) may be formed of a tiled array of smaller devices 16. The tiled device 100 may be used, for example, to handle large diameter input beams. The size of each tile 16 may be slightly different from the neighboring tiles 16 to eliminate interference effects that might otherwise be caused by a repeating pattern. The intensity of light transmitted through each tile 16 may be different, which may cause a slight change in the amount of energy imparted to each sub-angular region in the pattern 18. This effect is reduced, however, by the random placement of wedges within each tile 16.

[0040] For certain desired angular regions, the number of sub-angular regions required to fill the region can be very large (for example, greater than ten thousand) which requires a very large number of micro-wedges. In these instances, the input beam 14 should have a small diameter to illuminate all portions of the tiles 16. By decreasing the size of the individual micro-wedges, the input beam size can be reduced. The size of the wedges ~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44~~ preferably should not be so small, however, as to cause the diffraction angle defined by the wedge apertures to become too great.

[0041] As shown in FIG. 6, the output surfaces 130 of an optical device 16' may have slight curvatures. The surfaces 130 may be spherical, parabolic or the like. The output surfaces 130 may be separated by tare surfaces and discontinuities 132 similar to the tare surfaces and rectangular facet boundaries shown in FIGS. 2 and 3. The slight curvatures shown in FIG. 6 produce narrow bands of angles instead of single

angles. The illustrated curvatures can help to improve the filling of large angular patterns 18 with fewer facets in the optical device 16'. The embodiment shown in FIG. 6 otherwise operates similarly to the embodiment shown in FIGS. 1-3.

[0042] FIG. 7 displays an optical device 16'' employed in a reflection mode. In this embodiment, the input light 14 is propagated into a micro-wedge array 16''. A mirror coating 122 is used on the array output surfaces which reflects the input light 14 to direct beam portions 46', 48' toward the respective portions of the desired pattern 18.

[0043] The present invention may also be employed with a phase bias device or an optical lens 140 as shown schematically in FIG. 1. The lens 140 may be separate from the optical device 16 or it may be constructed as an integral part of the optical device 16. The lens 140 may be on either side of the micro-wedges ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44. The lens 140 may be used, for example, to perform an optical Fourier-transform operation.

[0044] According to yet another aspect of the invention, the facet boundaries 142 (FIG. 2) of each micro-wedge ~~22-44~~22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44 may be randomized to further reduce the effects of discontinuities at the boundaries 142.

[0045] Reference has been made to preferred embodiments in describing the invention. However, additions, deletions, substitutions, or other modifications which would fall within the scope of the invention defined in the claims may be

implemented by those skilled in the art without departing from the spirit or scope of the invention. Accordingly, the invention is not to be considered as limited by the foregoing description, but is only limited by the scope of the appended claims.

[0046] What is claimed as new and desired to be protected by Letters Patent of the United States is: